



#7
1-5-00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Filippa Brugliera,
et al.

Examiner: A. Nelson

Serial No.: 09/142,108

Art Unit: 1649

Filed: September 1, 1998

Docket: 11658

Corresponding to:

Dated: December 9, 1999

Int'l Appln. No. PCT/AU97/00124

Int'l Filing Date: February 27, 1997

For: GENETIC SEQUENCES ENCODING
FLAVONOID PATHWAY ENZYMES AND
USES THEREFOR

Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE
SEQUENCE AND/OR AMINO ACIDS SEQUENCE DISCLOSURES**


Sir:

In response to the Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated August 9, 1999, Applicants have amended the specification of the above-identified application to include a substitute paper copy of the Sequence Listing. Accompanying this response are a Preliminary Amendment, and both paper and computer readable copies of the Sequence Listing.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 9, 1999.

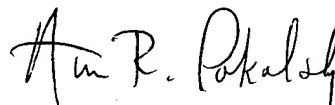
Dated: December 9, 1999


Janet Giordano

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Also submitted herewith is a statement that support for the substitute paper and computer readable copies exists in the specification, that the content of the paper and computer readable copies of the Sequence Listing are the same and do not introduce new matter to the application as originally filed.

Respectfully submitted,



Ann R. Pokalsky
Registration No. 34,697

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(516) 742-4343
ARP:jr



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STATEMENT UNDER 37 C.F.R. §1.821(f), (g)
AND §1.825(a)

Sir:

I hereby state that the content of the computer readable and substitute paper copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.825(a) and §1.821(g), respectively, are the same. Support for the substitute paper and computer readable copies of the Sequence Listing exists in the specification of the above-identified application. The submission of both the paper and computer readable copies does

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Dated: December 9, 1999

Janet Giordano
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not introduce new matter to the application as originally filed.

Respectfully submitted,



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400 Garden City Plaza
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(516) 742-4343
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Application No.:

09/142,108

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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